

Forcing Complexity

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Abstract

We overview our research on forcing complexity:

- **Forcing complexity** (of a given formula)
= Min. size of forcing conditions (its domain) which force it.
- **A Dowd-generic set**
 \Leftrightarrow A set of strings s.t. associated query formulas have small forcing complexity (We will show precise def. later.)
 \nrightarrow A resource-bounded generic set of Ambos-Spies et al.
- **Connections with:**
resource-bounded randomness
computational complexity

Outline

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§1 Background

Def. Resource-bounded randomness (Ambos-Spies et al.)

$t(n)$ -random

\simeq random for $O(t(n))$ -time computable martingales.

Two Types of Resource-bounded Genericity

- [Ambos-Spies and Mayordomo 1997],
[Ambos-Spies, Terwijn, and Zheng 1997]:
Time-bound of finite-extension strategy.

$t(n)$ -random $\Rightarrow t(n)$ -stochastic $\Rightarrow t(n)$ -generic.

- [Dowd 1992]: Based on analogy of forcing theorem.

[Kumabe and S.]: There exists an elementary recursive $t(n)$ s.t.
 $t(n)$ -random \Rightarrow Dowd-generic.

§1 Background

Dowd introduced the following in his study of $NP=?coNP$ question.

Def. of Dowd-generic sets (sketch)

“A certain property* of an **exponential-sized** portion of an oracle X is forced by a **polynomial-sized** portion of X . ”

“A certain property” is described with
the relativized propositional calculus (RPC).

$$\text{RPC} = (\text{propositional calculus}) \\ + \{ \xi^1(-), \xi^2(-, -), \xi^3(-, -, -), \dots \}$$

For each n , the n -ary connective ξ^n (a *query symbol*) is interpreted to
the initial segment of a given oracle up to 2^n th string.

§1 Background

Example of a formula of RPC

$$(q_0 \Leftrightarrow \xi^3(q_1, q_2, q_3)) \Rightarrow [q_0 \vee (q_1 \wedge q_4)]$$

Given a formula F of RPC and an oracle X , truth of F is determined by “a truth assignment + a finite portion of X ”.

Interpretation:

$\xi^n(\text{ith of } \{0, 1\}^n)$ is interpreted as to be $X(\text{ith of } \{0, 1\}^*)$,

where “ith” is that of length-lexicographic order.

§1 Background

$\xi^n(\text{ith of } \{0, 1\}^n)$ is interpreted as to be $X(\text{ith of } \{0, 1\}^*)$.

Examples ($n = 2$ and $n = 3$)

$\xi^2(0, 0)$	$\xi^2(0, 1)$	$\xi^2(1, 0)$	$\xi^2(1, 1)$
$X(\text{empty string})$	$X(0)$	$X(1)$	$X(00)$

$\xi^3(0, 0, 0)$	$\xi^3(0, 0, 1)$	$\xi^3(0, 1, 0)$	$\xi^3(0, 1, 1)$
$X(\text{empty string})$	$X(0)$	$X(1)$	$X(00)$

$\xi^3(1, 0, 0)$	$\xi^3(1, 0, 1)$	$\xi^3(1, 1, 0)$	$\xi^3(1, 1, 1)$
$X(01)$	$X(10)$	$X(11)$	$X(000)$

Thus, $\xi^2(q_2, q_1)$ and $\xi^3(0, q_2, q_1)$ are interpreted as to be the same.

§1 Background

Def. Force

A finite portion σ (a finite sub-function) of an oracle X is called a *forcing condition*.

σ *forces* F if for any Y extending σ , F is a tautology w. r. t. Y .

Example of force

Let F be: $(q_0 \Leftrightarrow \xi^3(q_1, q_2, q_3)) \Rightarrow \neg q_0$

F is a tautology w. r. t. the characteristic func. of the empty set.
If σ forces F then the size of σ (its domain) $\geq 2^3$.
(And, the first 2^3 bits of σ should be 0.)

§2 Results on Non-Existence

The case of **unbounded** occurrences of query symbols

Definition. **t-generic sets** [Dowd 1992]

X is **t-generic** if every tautology F with respect to X is forced by a forcing condition of polynomial-size in $|F|$.

Thm. **Non-existence** of t-generic sets [Dowd, 1992], [S. 2001]

There are no t-generic sets.

Dowd, M.: Generic oracles, uniform machines, and codes.
Inf. Comput., **96**, pp. 65–76 (1992).

S.: Forcing complexity: minimum sizes of forcing conditions.
Notre Dame J. Formal Logic, **42**, pp. 117–120 (2001).

§3 Results on Existence

The case of **bounded** occurrences of query symbols:

Here, r -query denotes “the $\#$ of occurrences of query symbols is r .”

Def. Dowd-generic sets [Dowd, 1992]

- Let r be a positive integer.
 X is r -Dowd
if every r -query tautology F w. r. t. X
is forced by a forcing condition of polynomial-size in $|F|$.
- X is Dowd-generic
if X is r -Dowd for every positive integer r .
(Polynomial bound depends on each r , unlike t-genericity)

§3 Results on Existence

Thm. Existence of Dowd-generic sets

- [Dowd, 1992], [S.2001], [S.2002]
The class of all Dowd-generic sets has Lebesgue measure 1.
- [S. and Kumabe, 2009] Schnorr random \Rightarrow Dowd-generic.

S.: Degrees of Dowd-type generic oracles.
Inf. Comput., **176**, pp.66–87 (2002).

S. and Kumabe, M.: Weak randomness, genericity and Boolean decision trees.
Proc. 10th Asian Logic Conference, pp.322–344, 2009.

§3 Results on Existence

[Dowd 1992] asserts “any 1-Dowd set is not c.e.” (false)

Thm. Degrees of Dowd-generic sets

- [S. 2002] There exists a primitive recursive 1-Dowd set.
And, every Turing degree contains a 1-Dowd set.
- [Kumabe and S. 2012]
The same holds for “Dowd-generic” in place of “1-Dowd”.

Kumabe, M. and S.:

Computable Dowd-generic oracles.

Proc. 11th Asian Logic Conference, pp.128–146, 2012.

§3 Results on Existence

Thm. Resource-bounded randomness implies Dowd-genericity

[Kumabe and S. ∞]

There exists an elementary recursive function $t(n)$ s.t.
 $t(n)$ -random \Rightarrow Dowd-generic.

Gives an alt. proof: \exists a primitive recursive Dowd-generic set.

Kumabe, M. and S. :

Resource-bounded martingales and computable Dowd-type generic sets. submitted to a journal (2010).

§3 Jump and a Problem

Let 1TAUT^X denote the set of all 1-query tautologies w. r. t. X .

Question: Does 1TAUT^X has a degree strictly higher than X ?

Given a reduction concept \leq_r (e.g., poly.-time Turing \leq_T^P), we introduce the following statement, and we call it

“One-query jump hypothesis w. r. t. \leq_r ” ($1\text{QJH}(r)$, for short).

Def. One-query Jump Hypothesis w. r. t. \leq_r [S. 2002]

“The class $\{X : X <_r 1\text{TAUT}^X\}$ has Lebesgue measure 1 in the Cantor space”.

§3 Jump and a Problem

Thm. [S. 1998]

$1QJH(\text{poly.-time Turing}) \Leftrightarrow RP \neq NP.$

Here, RP is the one-sided version of BPP.

Thm. [S. 2002]

$1QJH(\text{poly.-time truth table}) \Rightarrow P \neq NP.$

S.: Recognizing tautology by a deterministic algorithm whose while-loop's execution time is bounded by forcing.

Kobe Journal of Mathematics, **15**, pp. 91–102 (1998).

§3 Jump and a Problem

Examples of 1QJH [Kumabe, S. and Yamazaki 2008]

- (1) 1QJH(monotone reductions) holds.
(tt-reductions s.t. truth tables are monotone Boolean formulas.)
- (2) $c < 1 \Rightarrow$ 1QJH(tt-reductions s.t. norm $\leq c \times |F|$) holds.
(F is an input formula and $|F| = \#$ of occurrences of symbols.)

Problem

In (2), can we relax the assumption of “ $c < 1$ ”?

Kumabe, M., S. and Yamazaki, T.: Does truth-table of linear norm reduce the one-query tautologies to a random oracle? *Arch. Math. Logic*, **47**, pp.159–180 (2008).

Summary

§1 Results on Non-Existence (Thm. [Dowd 1992], [S. 2001])

No t -generic sets (No poly.-bound on forcing complexity when unbounded occurrences of query symbols).

§2 Results on Existence (Def.)

- (1) r -Dowd \leftrightarrow poly.bound on forcing comp. for r -query tautologies
- (2) Dowd-generic $\leftrightarrow \forall r \geq 1$ r -Dowd

§2 (Thm. [Kumabe and S., ∞])

There exists an elementary recursive function $t(n)$ s.t.

$t(n)$ -random \Rightarrow Dowd-generic.

(Hence \exists a primitive recursive Dowd-generic set.)

Summary

§3 Jump and a Problem (Def.)

1QJH(r): “ $\{X : X <_r 1\text{TAUT}^X\}$ has Lebesgue measure 1”.

§3 (Thm. [Kumabe, S. and Yamazaki 2008])






- (1) 1QJH(monotone reductions) holds.
- (2) $c < 1 \Rightarrow$ 1QJH(tt-reductions s.t. norm $\leq c \times |F|$) holds.
(F is an input formula and $|F| = \#$ of occurrences of symbols.)






§3 (Problem)

In (2), can we relax the assumption of “ $c < 1$ ”?

Thank you.

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